

# STATUTORY INSTRUMENTS.

S.I. No. 670 of 2011

# THE SOLICITORS ACTS 1954 TO 2008 (PRACTISING CERTIFICATE 2012) REGULATIONS 2011

(Prn. A11/2368)

# THE SOLICITORS ACTS 1954 TO 2008 (PRACTISING CERTIFICATE 2012) REGULATIONS 2011

The Law Society of Ireland, in exercise of the powers conferred on them by Section 47 (as substituted by Section 54 of the Solicitors (Amendment) Act 1994), Section 82 of the Solicitors Act, 1954 and Section 22 (as substituted by Section 30 of the Solicitors (Amendment) Act 1994) of the Solicitors (Amendment) Act 1960 HEREBY MAKE the following Regulations:

# Citation and commencement

- 1. (*a*) These Regulations may be cited as The Solicitors Acts 1954 to 2008 Solicitors (Practising Certificate 2012) Regulations 2011.
  - (b) These Regulations shall come into operation on the 1st day of January 2012.

#### Definitions

2. (a) In these Regulations, unless the context otherwise requires:

"Act of 1954" means the Solicitors Act 1954 [No.36 of 1954];

"Act of 1960" means the Solicitors (Amendment) Act 1960 [No.37 of 1960];

"Act of 1994" means the Solicitors (Amendment) Act 1994 [No.27 of 1994];

"applicant solicitor" means the solicitor the subject matter of an application;

"application" means an application delivered to the Registrar by an applicant solicitor for a practising certificate for the practice year, pursuant to Regulation 3 of these Regulations;

"Compensation Fund" means the fund maintained by the Society pursuant to sections 21 and 22 (as substituted, respectively, by sections 29 and 30 of the Act of 1994) of the Act of 1960;

"Regulation of Practice Committee" means the committee to whom functions of the Society pursuant to Part V of the Act of 1954, as amended and extended by Part VI of the Act of 1994, have been delegated by the Council of the Society;

"practice year" means the calendar year ending on the 31st day of December 2012;

Notice of the making of this Statutory Instrument was published in "Iris Oifigiúil" of 27th December, 2011. "practising certificate" means the certificate issued by the Registrar certifying that the solicitor named therein is entitled to practise as a solicitor during the practice year as and from the date of the certificate;

"Registrar" means the registrar of solicitors for the time being appointed pursuant to Section 8 of the Act of 1954;

"Registration Fee" means the fee payable by a solicitor admitted to the Roll of Solicitors for three years or more on the 1st day of January 2012 or the fee payable by a solicitor admitted to the Roll for less than three years on the 1st day of January 2012;

"Roll" means the roll of solicitors maintained by the Registrar under Section 9 (as substituted by Section 65 of the Act of 1994) of the Act of 1954;

"Society" means the Law Society of Ireland.

- (b) Other words and phrases in these Regulations shall have the meanings assigned to them by the Solicitors Acts 1954 to 2008.
- (c) The Interpretation Act 2005 applies to the interpretation of these Regulations as it applies to the interpretation of an Act of the Oireachtas.

Application for practising certificate

- 3. (a) An applicant solicitor shall deliver or cause to be delivered to the Registrar at the Society's premises at George's Court, George's Lane, Dublin 7 on or before the 1st day of February 2012 an application which:
  - (i) shall be in one of the forms as set out in the First Schedule to these Regulations;

and

- (ii) shall be duly completed and shall be signed by the applicant solicitor personally.
- (b) The Registrar shall, on receipt of an application pursuant to clause (a) of this Regulation and on the Registrar being of opinion that there is or are no appropriate and reasonable ground or grounds for not doing so, cause to be issued to the applicant solicitor concerned a practising certificate which shall be dated either—
  - (i) the 1st day of January 2012, where issued during the period beginning on the 1st day of January 2012 and ending on the 1st day of February 2012, or

- (ii) the date on which it is issued, where issued after the 1st day of February 2012.
- (c) An application pursuant to clause (a) of this Regulation shall be deemed to have effect subject to and having regard to the terms of the guidance notes as set out in the Third Schedule to these regulations.

# Where confirmation required of content of an application

- 4. (a) The Registrar, at any time following delivery to the Registrar by an applicant solicitor of an application (whether or not a practising certificate has been issued by the Registrar to the applicant solicitor pursuant to Regulation 3(b) of these Regulations), may, where the Registrar deems it appropriate and reasonable so to do, require the applicant solicitor to confirm in writing to the Society the accuracy of all or any specified part or parts of the application.
  - (b) Where, on receipt by the Society of a confirmation in writing from an applicant solicitor required by the Registrar pursuant to clause (a) of this Regulation, the Registrar deems it appropriate and reasonable so to do, the Registrar may require the applicant solicitor to attend before a meeting of the Regulation of Practice Committee to further confirm the accuracy of all or any specified part or parts of his or her application.

#### Misconduct of knowlingly furnishing false and misleading information

5. An applicant solicitor who in an application delivered to the Registrar knowingly furnishes information that is false or misleading in a material respect shall be guilty of misconduct.

#### Registration Fee and contribtion to Compensation Fund

6. As and from the coming into operation of these Regulations, the Registration Fee together with the contribution to the Compensation Fund as specified in the Second Schedule to these Regulations shall be paid to the Society by an applicant solicitor on delivery to the Registrar of his or her application.

#### Fee for copy of entry on File A or File B

7. The fee payable to the Society by a person who applies to the Registrar, pursuant to Section 17 of the Act of 1960, for a copy of an entry on File A or File B shall be as specified in the Second Schedule to these Regulations.

### Issue of duplicate practising certificate

8. Where a solicitor to whom a practising certificate for the practice year has been issued pursuant to Regulation 3(b) of these Regulations certifies to the Registrar that his or her practising certificate has been inadvertently destroyed, lost or mislaid, such solicitor may apply to the Society for a duplicate original of such practising certificate on duly discharging the fee specified in the Second Schedule to these Regulations and duly undertaking to the Registrar that in the event of the practising certificate as originally issued being subsequently found in the course of the practice year that same would forthwith be returned to the Registrar.

# Where conditions attached to practising certificate

- 9. (a) Where a practising certificate for the practice year is caused to be issued by the Registrar to a solicitor subject to a specified condition or conditions, the practising certificate shall be endorsed with the words (adapted as appropriate): "Issued subject to [a] specified condition[s] as annexed" and the Registrar at the same time shall cause to be issued to the solicitor concerned a separate document (deemed to be part of the practising certificate) headed with the Society's crest and title and also headed (adapted as appropriate): "Annexe to practising certificate of [name of solicitor] of [a] specified condition[s] attached thereto and applicable as and from [date of commencement of applicability of the specified condition.
  - (b) Where the Society give a direction pursuant to Section 59 of the Act of 1994 that the practising certificate already issued to a solicitor for the practice year should, from a date that is 21 days after the date of receipt by the solicitor concerned of notification in writing by the Society of the giving of such direction, have effect subject to a specified condition or conditions, the solicitor shall (subject to the provisions of the said section 59 of the Act of 1994 as to the solicitor's right of appeal to the High Court), within such period of 21 days, surrender his or her current practising certificate, and the Society shall, as soon as possible, reissue it endorsed with the words (adapted as appropriate): "Issued subject to [a] specified condition[s] as annexed" and the Registrar at the same time shall cause to be issued to the solicitor concerned a separate document (deemed to be part of the practising certificate) headed with the Society's crest and title and also headed (adapted as appropriate): "Annexe to practising certificate of [name of solicitor] of [a] specified condition[s] attached thereto and applicable as and from [date of commencement of applicability of the specified condition or conditions]" and setting out the text of each specified condition.
  - (c) Where a practising certificate issued or reissued to a solicitor for the practice year is subject to a specified condition or conditions as referred to in clause (a) or (b) of this Regulation:
    - (i) the solicitor concerned shall comply with the specified condition or each of the specified conditions, as the case may be, and shall ensure that he or she does not provide legal services to any client of the solicitor in breach of such specified condition or conditions, whether or not any such client is made aware of such specified condition or conditions, and
    - (ii) the solicitor concerned, in any display by him or her of his or her practising certificate, shall display with equal prominence adjacent thereto the annexe thereto setting out the specified condition or conditions to which his or her practising certificate is subject.

Dated this 19th day of December 2011

Signed on behalf of the Law Society of Ireland pursuant to Section 79 of the Solicitors Act 1954.

# DONALD PATRICK BINCHY,

President of the Law Society of Ireland.

#### FIRST SCHEDULE

# within referred to

# FORM OF APPLICATION FOR PRACTISING CERTIFICATE FOR PRACTICE YEAR ENDING 31ST DECEMBER 2012

And

# FORM OF APPLICATION BY A SOLICITOR IN THE FULL-TIME SERVICE OF THE STATE FOR YEAR ENDING 31ST DECEMBER 2012

1594318663



This form should be completed in conjunction with the related guidance notes. The guidance notes can be found at www.lawsociety.ie.

Section A - Personal Details	Solicitor Number:
Name of Solicitor:	S
Name of Firm/Organisation:	Firm Number:
Address of Firm/Organisation:	F

# Section B - Status

1.	My current professional status is <i>(please III) as appropriate):</i>	
	(a) Sole principal in a solicitor's practice	
	(b) Partner in a solicitor's practice	
	(c) Consultant solicitor in a solicitor's practice	
	(d) Solicitor employed in a solicitor's practice	
	(e) Solicitor employed on a contract basis in a solicitor's practice	
	(f) Solicitor employed by a non-solicitor (e.g. financial institution, commercial entity, statutory body)	
	(g) Solicitor employed on a contract basis by a non-solicitor	
	(h) Solicitor employed by an independent law centre	
	(i) Locum (solicitor intending only to practice on short-term contract or employment basis)	
	(j) Conveyancing-only solicitor employed by a non-solicitor	
	(k) Other, please specify:	
2.	If your status <b>has changed</b> during the practice year 2011, state date of change:	2011
3.	I am also admitted as a solicitor in the following jurisdiction(s):	
4.	Name of any other practice(s) than that noted above:	$\Box$
-		

			-
	7015318667		
	estions of the Registrar of Solicitors to be answered by each applicant for a Practicing Certificate e Solicitors Acts 1954 to 2008. Please 🗷 as appropriate:	, pursuan	t to
	be completed by each applicant, including (by addressing the substance of each question) a solicitor applying t Practising Certificate.	ng for his/h	ner
(a)	Has the last Practising Certificate issued to you been suspended or issued subject to conditions or been endorsed with conditions?	Yes	No No
Is	this application by you for a Practising Certificate following upon:		
(b)	The expiration of a period of suspension from practice?	Yes	No
(c)	Any order by the High Court restoring your name to the Roll of Solicitors?	Yes	No No
Sil	nce the last Practising Certificate issued to you:		
d)	Have you failed to comply with the Solicitors Acts 1954 to 2008 or with any order or regulation made thereunder, including the Solicitors Accounts Regulations 2001 to 2006?	Yes	No No
(e)	Have you been notified that you have failed to satisfy the Society that you exercise adequate personal supervision over any office or place of business?	Yes	
f)	Have you been notified that you have failed to give the Society an explanation which the Society regards as sufficient and satisfactory in respect of any matter affecting your conduct?	Yes	
g)	Have you had an order of attachment or committal made against you?	Yes	No No
h)	Have you been adjudicated bankrupt?	Yes	
)	Have you entered into a composition with your creditors or deed of arrangement for the benefit of your creditors?	Yes	No.
)	Have you failed to comply with any order(s) of the High Court or any other court(s)?	Yes	No.
k)	Have you failed to comply with any determination, requirement or direction of the Society under Section 8 (as amended by Section 39 of the Civil Law (Miscellaneous Provisions) Act 2008), 9 10 or 10A (as inserted by Section 13 of the Solicitors (Amendment) Act 2002) of the Solicitors (Amendment) Act 1994?	Yes	
I)	Have you been sentenced to a term of imprisonment?	Yes	No
m	) Have you failed to satisfy the Society that you are fit to carry on the practice of a solicitor having regard to the state of your physical or mental health?	Yes	
n)	Have you failed to satisfy the Society that, having regard to all the circumstances, including the financial state of your practice, you should be issued with a Practising Certificate or a Practising Certificate not subject to a specified condition or conditions?	Yes	No
0)	Has (Have) any judgement(s) or decree(s) been given against you (including judgement(s) or decree(s) to which you are entitled, as respects the whole effect of the judgement(s) or decree(s) upon you, to indemnity or relief from any other person(s)) which remain(s) unsatisfied in whole or in part, and in respect of which judgement(s) or decree(s) you have not produced to the Registrar of Solicitors evidence of the satisfaction of such judgement(s) or decree(s)? [If applicable, details should be submitted with this application of any such judgement(s) or decree(s), including (if applicable) evidence of the satisfaction thereof.]	Yes	∏ No
	be completed by a solicitor <b>who did not hold a Practising Certificate</b> for the practice year 2011 other ho is making his/her first application for a Practising Certificate immediately following upon his/her admission		
	did not hold a Practising Certificate since the practice year ended 31 December		
		ing reason	
Tŀ	ection C - Investment Business Services or Investment Advice is Section is required to comply with the Solicitors Acts 1954 to 1994 (Investment Business and Investor Co gulations 1998 (S.I. No. 439 of 1998) as amended. See Section C of the guidance notes.	mpensation	n)
	you are a solicitor who is an investment business firm or an investment firm within the terms of section 47(1 vestor Compensation Act 1998, please 🗷 Part I.	l)(a) or (b)	of the
h	you are <b>not</b> a solicitor who is an investment business firm or an investment firm within the terms of section e Investor Compensation Act 1998, Part II applies to you and no action by you is required other than signing e last page of this form.		
ä	Int I im a solicitor of the description set out in Part I of Section C of the guidance notes and I enclose evidence in iting as set out in Part I of Section C of the guidance notes.		YES
	Int II signing the declaration on the last page of this form I undertake in the terms set out in Part II of Section C e quidance notes.	of	

L

	tion D - Solicitors Accounts Regulations 2001 to 2006		
Sect	u are a sole principal or a partner in private practice or employed by an independent law centre, please ignore this ion.	5	
instit	u are an employed solicitor in private practice or a solicitor employed by a non-solicitor (e.g. financial ution, commercial entity, statutory body), in either case who has not handled clients' moneys during the tice year 2011 other than on behalf of your employer, please 🗵 this Section if it applies to you.		
I ha	ve not handled clients' moneys at any time during the practice year 2011 other than on behalf of my loyer.	YES	C
Sec	tion E - Professional Indemnity Insurance		
	ou are a solicitor in private practice or employed by an independent law centre, please ignore this Section unless provide legal services from outside the State.		
10000	ou are a solicitor who provides legal services for a non-solicitor (e.g. financial institution, commercial entity, utory body), please 🗷 Part I if it applies to you.		
If yo	ou are a solicitor who provides legal services from an establishment outside the State, please 🗷 Part II if it applies	5	
Par	1		
prov	Part applies to a solicitor who provides legal services only as part of an employment within the State to ide legal services to and for his/her employer, provided that such employer is not also a solicitor or stered lawyer.		
emp inde thar	ovide legal services only as part of an employment within the State to provide legal services to and for my loyer who is not a solicitor or a registered lawyer and I confirm that, for the duration of the current mnity period, I have not and will not engage in the provision of legal services to and for any person other my employer and I will notify the Society immediately in writing if I cease to provide legal services only on back		
	basis.	YES	1
Par			
Thic			
	Part applies to a solicitor who provides legal services from an establishment outside the State who is applying Practising Certificate.		
for a For with who acco		YES	Г
for a For with who acco	a Practising Certificate. the duration of the practice year 2012 I will not provide legal services from an establishment within the State out first advising the Society in writing and providing to the Society confirmation that the establishment in se name and on whose behalf I provide legal services has professional indemnity insurance cover in place in ordance with The Solicitors Acts 1954 to 2008 (Professional Indemnity Insurance) Regulations 2011 (S.I. No.	YES	
for a For with who acco 409	a Practising Certificate. the duration of the practice year 2012 I will not provide legal services from an establishment within the State out first advising the Society in writing and providing to the Society confirmation that the establishment in se name and on whose behalf I provide legal services has professional indemnity insurance cover in place in ordance with The Solicitors Acts 1954 to 2008 (Professional Indemnity Insurance) Regulations 2011 (S.I. No.	YES	Ē
for a For with who acco 409 Sec [The Proi Jan	a Practising Certificate. the duration of the practice year 2012 I will not provide legal services from an establishment within the State out first advising the Society in writing and providing to the Society confirmation that the establishment in se name and on whose behalf I provide legal services has professional indemnity insurance cover in place in ordance with The Solicitors Acts 1954 to 2008 (Professional Indemnity Insurance) Regulations 2011 (S.I. No. of 2011).	YES	C
for a For with who accc 409 Sec [Thi Proi Jani Solii [Ple	A Practising Certificate. the duration of the practice year 2012 I will not provide legal services from an establishment within the State out first advising the Society in writing and providing to the Society confirmation that the establishment in se name and on whose behalf I provide legal services has professional indemnity insurance cover in place in ordance with The Solicitors Acts 1954 to 2008 (Professional Indemnity Insurance) Regulations 2011 (S.I. No. of 2011). <b>tion F: Continuing Professional Development ("CPD")</b> <i>e 2011 minimum CPD requirement is 12 hours (to include a minimum of 3 hours Management and fessional Development Skills and a minimum of 1 hour Regulatory Matters) during the CPD cycle between 1 wary 2011 and 31 December 2011 in accordance with the CPD Scheme provided for pursuant to the</i>	YES	· C
for a For with who accc 409 Sec [Thi Proi Jani Solii [Ple	A Practising Certificate. the duration of the practice year 2012 I will not provide legal services from an establishment within the State out first advising the Society in writing and providing to the Society confirmation that the establishment in se name and on whose behalf I provide legal services has professional indemnity insurance cover in place in ordance with The Solicitors Acts 1954 to 2008 (Professional Indemnity Insurance) Regulations 2011 (S.I. No. of 2011). <b>tion F: Continuing Professional Development ("CPD")</b> <i>e 2011 minimum CPD requirement is 12 hours (to include a minimum of 3 hours Management and fessional Development Skills and a minimum of 1 hour Regulatory Matters) during the CPD cycle between 1 uary 2011 and 31 December 2011 in accordance with the CPD Scheme provided for pursuant to the citors (Continuing Professional Development) Regulations 2009 (S.I. No. 452 of 2009).</i> ] ase <u>ONE ONLY</u> of the following four options. If in doubt as to which option applies to you, please read notes on completion of this Section F on related guidance notes]. I <u>HEREBY CERTIFY</u> as follows: I have completed the 2011 minimum CPD requirement (whether in full or as may be modified in accordance with the CPD Scheme) or I am exempt from the 2011 minimum CPD requirement by reason of having been admitted to the Roll of Solicitors on or after 1 December 2010.	YES	
for a For with who accc 409 Sec [Th Proi Jani Solii [Ple the (a)	A Practising Certificate. the duration of the practice year 2012 I will not provide legal services from an establishment within the State out first advising the Society in writing and providing to the Society confirmation that the establishment in se name and on whose behalf I provide legal services has professional indemnity insurance cover in place in rdance with The Solicitors Acts 1954 to 2008 (Professional Indemnity Insurance) Regulations 2011 (S.I. No. of 2011). <b>tion F: Continuing Professional Development ("CPD")</b> <i>e 2011 minimum CPD requirement is 12 hours (to include a minimum of 3 hours Management and fessional Development Skills and a minimum of 1 hour Regulatory Matters) during the CPD cycle between 1 way 2011 and 31 December 2011 in accordance with the CPD Scheme provided for pursuant to the citors (Continuing Professional Development) Regulations 2009 (S.I. No. 452 of 2009).]</i> ase <b>(S)</b> <u>ONE ONLY</u> of the following four options. If in doubt as to which option applies to you, please read notes on completion of this Section F on related guidance notes]. I <u>HEREBY CERTIFY</u> as follows: I have completed the 2011 minimum CPD requirement (whether in full or as may be modified in accordance with the CPD Scheme) or I am exempt from the 2011 minimum CPD requirement by reason of having been admitted to the Roll of Solicitors on or after 1 December 2010. OR I have made an application to the Education Committee for special dispensation (whether by way of full or partial exemption or for an extension of time to complete the necessary CPD hours) on the basis of my certified long-term illness or other personal circumstances, and this application has already been granted		;
for a For with who accc 409 Sec [Thh Proi Janu Solii [Ple the (a) (b)	A Practising Certificate. the duration of the practice year 2012 I will not provide legal services from an establishment within the State out first advising the Society in writing and providing to the Society confirmation that the establishment in se name and on whose behalf I provide legal services has professional indemnity insurance cover in place in rdance with The Solicitors Acts 1954 to 2008 (Professional Indemnity Insurance) Regulations 2011 (S.I. No. of 2011). <b>tion F: Continuing Professional Development ("CPD")</b> <i>e 2011 minimum CPD requirement is 12 hours (to include a minimum of 3 hours Management and fessional Development Skills and a minimum of 1 hour Regulatory Matters) during the CPD cycle between 1 <i>vary 2011 and 31 December 2011 in accordance with the CPD Scheme provided for pursuant to the citors (Continuing Professional Development) Regulations 2009 (S.I. No. 452 of 2009).]</i> ase <b>S</b> <u>ONE ONLY</u> of the following four options. If in doubt as to which option applies to you, please read notes on completion of this Section F on related guidance notes]. I <u>HEREBY CERTIFY</u> as follows: I have completed the 2011 minimum CPD requirement (whether in full or as may be modified in accordance with the CPD Scheme) or I am exempt from the 2011 minimum CPD requirement by reason of having been admitted to the Roll of Solicitors on or after 1 December 2010. OR I have made an application to the Education Committee for special dispensation (whether by way of full or partial exemption or for an extension of time to complete the necessary CPD hours) on the basis of my certified long-term illness or other personal circumstances, and this application has already been</i>	YES	; [

practice address, telephone and information concerning a solicitu Acts 1954 to 2008 and regulatio relating to the commission or all The personal data about a solici marketing and professional deve G of the guidance notes for exar right to request in writing a cop amended if it is incorrect, incom If you do not wish to be informed	collect and record certain personal of fax number(s), email address and ac or arising from the carrying out by this made thereunder, including sensit eged commission of a criminal offend tor maintained by the Society may be elopment purposes, as well as in purs mples of the actual or possible uses or of any personal data about yourself	cademic qui e Society of tive persona ce. e used by th suance of th of such personant f that is hele e-mail direct	alificat f its re al data he Soc sonal o d by th ctly fro	tions. P gulatory a such a ciety for ciety's re data rela he Socie	ersona y funct s healt admin gulato ating to	al data m tions und th record histration ory function o a solici d have su	ay also t der the So ls or info , manage ions. See tor. You uch data	be plicitors rmation ement, e Section have the
Declaration								~
	ulars set out in this application are o	orrect to th	e best	of my l	knowle	edge, info	ormation	and
	Data Protection Statement set out in					5 /		
Signature			Dat	e 🗌	_/		/ 🗌	
Mombouchin of the Coole	<b>a</b>							
	o of the Society for the year ending 3		er 201	2.			YES	
For details of member services	s and benefits see www.lawsociety.ie						177	
Fees	Note: You are	registered	: over	r 3 years	s П	less thai	n 3 years	
To be read in conjunction wa as appropriate:	ith the guidance notes. Please 🗵	-	3 ye	itors adr ars or m anuary 2	ore on	les	licitors ad is than 3 y January 2	ears on
(A) Registration fee			€	1,435		€	1,135	
(B) Compensation Fund contr	ibution		€	700		€	700	
(C) Solicitors Mutual Defence	Fund contribution		€	200		€	200	
(D) Membership subscription			€	85		€	55	
(E) Membership subscription	if admitted during the year 2012					€	20	
(F) Free membership if admit	ted over 50 years		€	0				
(G) Solicitors Benevolent Ass	ociation contribution		€	50		€	30	
(H) Free Legal Advice Centre	es contribution		€	15		€	15	
(I) Community Law Centres	contribution		€	15		€	15	
TOTAL ENCLOSED REM	ITTANCE	(		],		¢	],	
Payment Methods								
Cheque:	Make payable to 'Law Society	of Ireland	'			ent Met propriat	thod, ple te	ease 🗵
Electronic Funds Transfer:	Bank of Ireland, 2 College Gre A/C: Law Society of Ireland Swift Code: BOFIIE2D IBAN: IE40BOFI 900017 3008 Ref: (Firm name or number)	2086				EF Ot	her	
Gradit card payments pet acc	If you are paying by EFT pr form. This form cannot be proces							is

3343318667

Г

0661276389

# *Law Society of Ireland* George's Court, George's Lane, Dublin 7. DX 1025 Four Courts.

George's Court, George's Lane, Dublin 7. DX 1025 Four Courts. Application by solicitor in full-time service of the State



for practice year ending 31 December 2012

This form should be completed by a solicitor in the full-time service of the State in conjunction with the related guidance notes. The guidance notes can be found at www.lawsociety.le.

Section A - Personal Details Name of Solicitor: Name of State Body: Address of State Body:

Sol	icito	r Nun	nber:
S		Π	Π
Firr	n Nu	mbe	r:
F			

#### Section B - Status

1.	My cu	rrent professional status is <i>(please</i> 🗵 ):		
	Solicit	or in the full-time service of the State		
2.	If you	status has changed during the practice year 2011, state date of change:	/20	11
3.	I am a	Iso admitted as a solicitor in the following jurisdiction(s):		
4.		of any other State body to whom you provide legal es (if applicable):		
5.		ions of the Registrar of Solicitors to be answered by each applicant, pursuant to the Solicitor Please 🗷 as appropriate:	ors Acts 19	54 to
	To be time.	completed by each applicant, including (by addressing the substance of each question) a solicitor app	lying for the	first
	(a)	Has your entitlement to practice since the last application made by you been suspended or subject to conditions ?	Yes 🗖	No 🗖
	Is this	application by you following upon:		
	(b)	The expiration of a period of suspension from practice?	Yes 🗖	No 🗖
	(c)	Any order by the High Court restoring your name to the Roll of Solicitors?	Yes 🗖	No 🗖

		3924276384		
	Sin	ce the last application made by you:		
	(d)	Have you failed to comply with the Solicitors Acts 1954 to 2008 or with any order or regulation made thereunder?	Yes	No No
	(e)	Have you been notified that you have failed to satisfy the Society that you exercise adequate personal supervision over any office or place of business?	Yes	No No
	(f)	Have you been notified that you have failed to give the Society an explanation which the Society regards as sufficient and satisfactory in respect of any matter affecting your conduct?	Yes	No No
	(g)	Have you had an order of attachment or committal made against you?	Yes	No No
	(h)	Have you been adjudicated bankrupt?	Yes	No No
	(i)	Have you entered into a composition with your creditors or deed of arrangement for the benefit of your creditors?	Yes	No No
	(j)	Have you failed to comply with any order(s) of the High Court or any other court(s)?	Yes	No No
	(k)	Have you failed to comply with any determination, requirement or direction of the Society under Section 8 (as amended by Section 39 of the Civil Law (Miscellaneous Provisions) Act 2008), 9, 10 or 10A (as inserted by Section 13 of the Solicitors (Amendment) Act 2002) of the Solicitors (Amendment) Act 1994?	Yes	No No
	(I)	Have you been sentenced to a term of imprisonment?	Yes	No No
	(m)	Have you failed to satisfy the Society that you are fit to carry on the practice of a solicitor having regard to the state of your physical or mental health?	Yes	No No
	(n)	Have you failed to satisfy the Society that, having regard to all the circumstances, you should be permitted to practice or be permitted to practice not subject to a specified condition or conditions?	Yes	No
	(0)	Has (Have) any judgement(s) or decree(s) been given against you (including judgement(s) or decree(s) to which you are entitled, as respects the whole effect of the judgement(s) or decree(s) upon you, to indemnity or relief from any other person(s)) which remain(s) unsatisfied in whole or in part, and in respect of which judgement(s) or decree(s) you have not produced to the Registrar of Solicitors evidence of the satisfaction of such judgement(s) or decree(s)? [If applicable, details should be submitted with this application of any such judgement(s) or decree(s), including (if applicable) evidence of the satisfaction thereof.]	Yes	No
6.		be completed by a solicitor <b>who was not in practice</b> for the practice year 2011 other than a solicitor wh /her first application immediately following upon his/her admission as a solicitor.	o is making	
	Ιh	ave not been in practice since the practice year ended 31 December for the following reasonable for the	on(s):	

#### Section C - Investment Business Services or Investment Advice

This Section is required to comply with the Solicitors Acts 1954 to 1994 (Investment Business and Investor Compensation) Regulations 1998 (S.I. No. 439 of 1998) as amended. See Section C of the guidance notes.

Due to your status it is assumed that you are **not** a solicitor who is an investment business firm or an investment firm within the terms of section 47(1)(a) or (b) of the Investor Compensation Act 1998. Accordingly, no action by you is required other than signing the declaration on the last page of this form. If this is not the case, you are required to notify the Registrar of Solciitors in writing at the time of making this application.

By signing the declaration on the last page of this form I undertake in the terms set out in Part II of Section C of the guidance notes.

#### 1970276383

#### Section D - Solicitors Accounts Regulations 2001 to 2006

Due to your status it is assumed that you have not handled clients' moneys during the practice year 2011 other than on behalf of your employer. Accordingly, please 🗵 this Section if it applies to you.

I have not handled clients' moneys at any time during the practice year 2011 other than on behalf of my employer.

YES 🔲

YES

#### Section E - Professional Indemnity Insurance

Due to your status you provide provide legal services for a non-solicitor (e.g. statutory body). Accordingly, please 🗵 this Section if it applies to you.

This Section applies to a solicitor who provides legal services only as part of an employment within the State to provide legal services to and for his/her employer, provided that such employer is not also a solicitor or registered lawyer.

I provide legal services only as part of an employment within the State to provide legal services to and for my employer who is not a solicitor or a registered lawyer and I confirm that, for the duration of the current indemnity period, I have not and will not engage in the provision of legal services to and for any person other than my employer and I will notify the Society immediately in writing if I cease to provide legal services only on such basis.

Sec	tion F: Continuing Professional Development ("CPD")	
[The Prof	e 2011 minimum CPD requirement is 12 hours (to include a minimum of 3 hours Management and essional Development Skills and a minimum of 1 hour Regulatory Matters) during the CPD cycle between 1 iary 2011 and 31 December 2011 in accordance with the CPD Scheme provided for pursuant to the	
Solid	citors (Continuing Professional Development) Regulations 2009 (S.I. No. 452 of 2009).]	
	ase I <u>ONE ONLY</u> of the following four options. If in doubt as to which option applies to you, please read notes on completion of this Section F on related guidance notes]. I <u>HEREBY CERTIFY</u> as follows:	
(a)	I have completed the 2011 minimum CPD requirement (whether in full or as may be modified in accordance with the CPD Scheme) or I am exempt from the 2011 minimum CPD requirement by reason of having been admitted to the Roll of Solicitors on or after 1 December 2010.	YES
	OR	
(b)	I have made an application to the Education Committee for special dispensation (whether by way of full or partial exemption or for an extension of time to complete the necessary CPD hours) on the basis of my certified long-term illness or other personal circumstances, and this application <b>has already been granted</b> .	YES
	OR	
(c)	I failed to complete the 2011 minimum CPD requirement (whether in full or as may be modified in accordance with the CPD Scheme).	YES
	OR	
(d)	I am exempt from the 2011 minimum CPD requirement by reason of not having held a practising certificate at any time during 2011 (or not having been employed as a solicitor in the full-time service of the State at any time during 2011 or not having been a European Lawyer registered with the Society holding a qualifying certificate at any time during 2011).	YES 🗌

|--|

#### Section G - Data Protection Statement

It is necessary for the Society to collect and record certain personal data relating to each solicitor, including the name, practice address, telephone and fax number(s), email address and academic qualifications. Personal data may also be information concerning a solicitor arising from the carrying out by the Society of its regulatory functions under the Solicitors Acts 1954 to 2008 and regulations made thereunder, including sensitive personal data such as health records or information relating to the commission or alleged commission of a criminal offence.

The personal data about a solicitor maintained by the Society may be used by the Society for administration, management, marketing and professional development purposes, as well as in pursuance of the Society's regulatory functions. See Section G of the guidance notes for examples of the actual or possible uses of such personal data relating to a solicitor. You have the right to request in writing a copy of any personal data about yourself that is held by the Society and have such data amended if it is incorrect, incomplete or misleading.

If you do not wish to be informed of products or services by post or e-mail directly from third party entities approved by the Society as being of benefit to the profession please place a 🗵 in the box here:

Society as being of benefit to the	e profession please place a 🗷 in the box	chere:					
Declaration							
	ulars set out in this application are corre		of my l	knowle	dge, inforn	nation	and
belief and that I have read the	Data Protection Statement set out in Se	ction G.	_				
Signature		Date		/		Ц	
Membership of the Socie	ty						
I hereby apply for membership	o of the Society for the year ending 31 D	ecember 2012				VEC	
For details of member services	and benefits see www.lawsociety.ie					163	,
Fees	Note: You are a	dmitted: over	3 year	s 🗌	less than 3	l years	s 🗖
			ors adn		Solicit	tors ad	Imitted
To be read in conjunction wit as appropriate:	h the guidance notes. Please 🗵		nuary 2	ore on 012		han 3 nuary 3	years or 2012
(A) Membership subscription		€	85	П	€	55	П
(B) Membership subscription	if admitted during the year 2012			1.111	€	20	
(C) Free membership if admitt	ted over 50 years	€	0				
(D) Group Life Assurance Sch	eme	€	50		€	50	
(F) Solicitors Benevolent Ass	ociation contribution	€	50		€	30	
(G) Free Legal Advice Centre	s contribution	€	15		€	15	
(H) Community Law Centres	contribution	€	15		€	15	
TOTAL ENCLOSED REM	ITTANCE	€ [],			€ 🗌,		
Payment Methods				Davm	ent Metho	d pl	
Cheque:	Make payable to 'Law Society of :	Ireland'			propriate	a, pi	ease E
Electronic Funds Transfer:	Bank of Ireland, 2 College Green,	Dublin 2			Chec	lue	
	A/C: Law Society of Ireland				EFT		
	Swift Code: BOFIIE2D IBAN: IE40BOFI 900017 3008208	· ·			Othe	r	
	Ref: (Firm name or number)	0					
	If you are paying by EFT print ren This form <b>cannot be processed</b>						
Credit card payments not acc	cepted.						

# SECOND SCHEDULE

# within referred to

(a)	Registration Fee for the practice year ending on the 31 <sup>st</sup> day of December 2012 payable to the Society on application for a practising certificate by an applicant solicitor who has been admitted to the Roll for three years or more on the 1 <sup>st</sup> day of January 2012:	€1,435.00
(b)	Registration Fee for the practice year ending on the 31 <sup>st</sup> day of December 2012 payable to the Society on application for a practising certificate by an applicant solicitor who has been admitted to the Roll for less than three years on the 1 <sup>st</sup> day of January 2012:	€1,135.00
(c)	Contribution to the Compensation Fund for the prac- tice year ending on the 31 <sup>st</sup> day of December 2012 pay- able to the Society on application for a practising cer- tificate by an applicant solicitor:	€700.00
(d)	Contribution for the Solicitors Mutual Defence Fund Limited for the practice year ending on the 31 <sup>st</sup> day of December 2012 payable to the Society on application for a practising certificate by an applicant solicitor:	€200.00
(d)	Fee payable to the Society on each application, pursu- ant to Section 17 of the Solicitors (Amendment) Act 1960, for a copy of an entry on File A or File B:	€100.00
(e)	Fee payable to the Society on each application, pursu- ant to Regulation 8 of these Regulations, for the issue of a duplicate original practising certificate for the prac- tice year.	€50.00

#### THIRD SCHEDULE

# within referred to

Law Society of Ireland Practising Certificate Application for the practice year ending 31 December 2012

# **GUIDANCE NOTES**

#### General

#### Why you need a practising certificate

It is misconduct and a criminal offence for a solicitor (other than a solicitor in the full-time service of the state) to practise without a practising certificate. Any solicitor found to be practising without a practising certificate is liable to be referred to the Solicitors Disciplinary Tribunal.

#### Practising certificate application forms

Application forms will be issued during the week commencing 12 December 2011. Application forms for solicitors in private practice will be forwarded to the principal or the managing partner in each practice, rather than to each solicitor.

#### When you must apply

A practising certificate must be applied for on or before 1 February in each year in order to be dated 1 January of that year and thereby operate as a qualification to practise from the commencement of the year. It is therefore a legal requirement for a practising solicitor to deliver or cause to be delivered to the Registrar of Solicitors, on or before 1 February 2012, an application in the prescribed form duly completed and signed by the applicant solicitor personally, together with the appropriate fee. The onus is on each solicitor to ensure that his or her application form and fee is delivered by 1 February 2012. Applications should be delivered to: Regulation Department, Law Society of Ireland, George's Court, George's Lane, Dublin 7; DX 1025 Four Courts.

#### What happens if you apply late-

Any applications for practising certificates that are received after 1 February 2012 will result in the practising certificates being dated the date of actual receipt by the Registrar of Solicitors, rather than 1 January 2012. There is no legal power to allow any period of grace under any circumstances whatsoever.

Please note that, again during 2011, a number of solicitors went to the trouble and expense of making an application to the High Court for their practising certificate to be backdated to 1 January because their practising certificate application was received after 1 February.

The Regulation of Practice Committee is the committee of the Society that has responsibility for supervising compliance with practising certificate requirements. A special meeting of this committee will be held on a date after 1 February 2012, to be decided, to consider any late or unresolved applications for practising certificates. At this meeting, any practising solicitors who have not

applied by then for a practising certificate will be considered for referral forthwith to the Solicitors Disciplinary Tribunal and will be informed that the Society reserves the right to take proceedings for an order under section 18 of the Solicitors (Amendment) Act 2002 to prohibit them from practising illegally.

#### If you are an employed solicitor

Solicitors who are employed should note that it is the statutory obligation of every solicitor who requires a practising certificate to ensure that he or she has a practising certificate in force. Employed solicitors cannot absolve themselves from this responsibility by relying on their employers to procure their practising certificates. However, it is the Society's recommendation that all employers should pay for the practising certificate of solicitors employed by them.

If you are a solicitor in the full-time service of the State

There is a specially adapted form for solicitors in the full-time service of the State.

Some of your details are already on the application form

The practising certificate application form will be issued with certain information relating to each solicitor's practice already completed. Such information will include the relevant fees due by each solicitor and where applicable, provided date of birth has been notified to the Society, will include an adjustment for those solicitors of 70 years or over, to take account of the fact that they will not be covered under the provisions of the Solicitors' Group Life Cover Scheme.

#### Law Directory 2012

It is intended that the Law Directory 2012 will note all solicitors who have been issued with a practising certificate by 10 February 2012 (not those who have applied by 10 February 2012). Therefore, in order to ensure that your practising certificate issues by 10 February 2012, you should ensure that the application form you return to the Society is completed correctly. If it is not completed correctly, it will be necessary to return the form, which may result in delaying the issue of your practising certificate, despite the fact that you had applied for it prior to 10 February 2012.

#### What you can access on the website (www.lawsociety.ie)

Your individual pre-populated application form will also become available on the members' area of the Law Society website during the week commencing 12 December 2011, which you can complete online prior to printing a copy for signing and returning to the Society with the appropriate fee. This area is accessible by using your username and password: for assistance, please visit www.lawsociety.ie/help. Blank forms will also be available for you to download, if required. In addition, you may request a form to be emailed to you by emailing: pcrenewals@lawsociety.ie.

#### If you are ceasing practice

If you have recently ceased practice or are intending to cease practice in the coming year, please notify the Society accordingly.

### Acknowledgement of application forms

Please note that it is not the Society's policy to acknowledge receipt of application forms as received.

# **Duplicate practising certificate**

A fee of  $\notin$  50 will be payable in respect of each duplicate practising certificate issued for any purpose.

#### **Completion of the application form**

The application form must be properly completed and dated and signed personally by the applicant. If any details are omitted from the application form, it may be returned to the applicant for proper completion and re-submission, which could result in delay in issuing a practising certificate to the applicant.

The application form must be properly completed by a solicitor engaged (or intending to engage) during the practice year ending 31 December 2012 in the provision of legal services, whether as a sole practitioner or as a partner in a solicitor's practice or as an employee (whether of a solicitor(s) or of any other person or body), including a solicitor who does not require a practising certificate by reason of being a solicitor in the full-time service of the State [within the meaning of section 54 of the Solicitors Act, 1954, as substituted by section 62 of the Solicitors (Amendment) Act 1994] (in such cases by completing the special application form for such cases) or by reason of being a solicitor employed full-time in the State to provide conveyancing services only to and for his/her non-solicitor employer [section 56, Solicitors (Amendment) Act 1994].

The practice year coincides with the calendar year. The application form must be received by the Registrar at the Society's premises, George's Court, George's Lane, Dublin 7, on or before 1 February 2012 in order for the practising certificate to be dated 1 January 2012 and thereby to operate as a qualification to practise from the commencement of the practice year 2012. A practising certificate issued after 1 February 2012 must [under Section 48 (as amended by Section 55 of the Solicitors (Amendment) Act 1994), of the Solicitors Act 1954] bear the date on which the application is actually received by the Registrar.

#### Section A — Personal Details

If any details in this section are incorrect, please either amend the form or enclose an explanatory letter with the form.

# Section B — Status

Please complete this Section as indicated on the application form.

Notes in relation to particular parts of this Section.

- 1(*h*) For the purposes of this option "independent law centre" has the meaning provided for in The Solicitors Acts 1954 to 2002 (Independent Law Centres) Regulations 2006 (S.I. No. 103 of 2006), as amended.
- 1(*j*) A solicitor to whom this option applies is not required to hold a practising certificate, but should complete the form as if applying for a practising certificate. No fee will be charged for a practising certificate and no practising certificate will be issued.

5. The questions of the Registrar of Solicitors are to be answered by each applicant, including a solicitor in the full-time service of the State and a conveyancing-only solicitor employed by a non-solicitor.

#### Section C — Investment Business Services or Investment Advice

This Section is required to comply with The Solicitors Acts 1954 to 1994 (Investment Business and Investor Compensation) Regulations 1998 (S.I. No. 439 of 1998) as amended. Part I of this Section applies to a solicitor who is an investment business firm or an investment firm within the terms of Section 47(1)(a) or (b) of the Investor Compensation Act 1998. Part II of this Section applies to all other solicitors. In the form for solicitors in the full-time service of the State this Section is not divided into parts.

Text of Investor Compensation Act 1998 Section 47(1)(a) and (b)

- (a) A solicitor in respect of whom a practising certificate (within the meaning of the Solicitors Acts 1954 to [1994]) is in force shall be an investment business firm—
  - *(i) where the solicitor provides investment business services or investment advice in a manner which is not incidental to the provision of legal services, or*
  - *(ii) where the solicitor holds himself or herself out as being an investment business firm, or*
  - (iii) where, when acting as an investment product intermediary in a manner incidental to the provision of legal services, the solicitor holds an appointment in writing other than from—
    - (I) an investment firm authorised in accordance with the Investment Services Directive by a competent authority of another Member State, or an authorised investment business firm (not being a

restricted activity investment product intermediary or a certified person), or a member firm within the meaning of the Stock Exchange Act 1995, or

- (II) a credit institution authorised in accordance with Directives 77/780/EEC of 12 December 1977 and 89/646/EEC of 15 December 1989, or
- (III) a manager of a collective investment undertaking authorised to market units in collective investments to the public,

which is situate in the State or the relevant branch of which is situate in the State,

and shall be required to be authorised as an authorised investment business firm pursuant to the provisions of the [Investment Intermediaries Act] 1995.

(b) A solicitor, in respect of whom a practising certificate (within the meaning of the Solicitors Acts 1954 to[1994]) is in force, who is an insurance intermediary or who holds himself [or herself] out to be an insurance intermediary shall be an investment firm for the purposes of this Act and shall inform the [Irish Financial Services Regulatory Authority] and [The Investor Compensation Company Limited] that he or she is an investment firm for the purposes of this Act.

#### Part I

The terms of the description referred to in Part I of this Section and the terms of the evidence in writing referred to in Part I of this Section are as follows:

1. I am a solicitor who—

(a) provides investment business services (including acting as an insurance intermediary) or investment advice in a manner which is not incidental to the provision of legal services, or

(b) holds himself/herself out as being an investment business firm, or

(c) when acting as an investment product intermediary in a manner incidental to the provision of legal services, holds an appointment in writing other than from

- (i) an investment firm authorised in accordance with the Investment Services Directive by a competent authority of another Member State, or an authorised investment business firm (not being a restricted activity investment product intermediary or a certified person), or a member firm within the meaning of the Stock Exchange Act 1995, or
- (ii) a credit institution authorised in accordance with Directives 77/780/EEC of 12 December 1977 and 89/646/EEC of 15 December 1989, or

(iii) a manager of a collective investment undertaking authorised to market units in collective investments to the public,

which is situate in the State or the relevant branch of which is situate in the State,

and am therefore an investment business firm required to be authorised as an authorised investment business firm pursuant to the provisions of the Investment Intermediaries Act 1995 (as amended by the Investor Compensation Act 1998), or am an insurance intermediary and/or have held myself out as an insurance intermediary who is required to inform the Central Bank of Ireland and The Investor Compensation Company Limited of that fact.

- 2. I attach to my application form evidence in writing:
- (*a*) either—
  - (i) of having been authorised by the Central Bank of Ireland as an authorised investment business firm; or
  - (ii) of having informed the Central Bank of Ireland and The Investor Compensation Company Limited that I am an insurance intermediary and/or that I have held myself out as an insurance intermediary;

(b) of the fact of the payment by me (or on my behalf) of such contribution to the fund established and maintained pursuant to section 19 of the Investor Compensation Act 1998 as may be required by The Investor Compensation Company Limited under section 21 of that Act;

(c) of having in place, valid and irrevocable for at least the duration of the practice year ending on 31 December 2011, a bond or bank guarantee and a policy of insurance, each acceptable to the Society, by way of providing indemnity against losses that may be suffered by a client in respect of default (whether arising from dishonesty or from breach of contract, negligence or other civil wrong) on my part, or any employee, agent or independent contractor engaged by me, as shall, in the opinion of the Society (taking into account the maximum amount of compensation for default that would be payable to a client secured by reason of the payment made by me referred to in (b) above), be equivalent to the indemnity against losses that would be provided to a client of a solicitor (in respect of whom a practising certificate is in force) in the provision of legal services by means of—

- (i) the Compensation Fund as provided for in accordance with section 21 (as substituted by section 29 of the Solicitors (Amendment Act 1994) of the Solicitors (Amendment) Act 1960, and
- (ii) the minimum level of cover as provided for in accordance with The Solicitors Acts 1954 to 2002 (Professional Indemnity Insurance) Regulations 2007 (S.I. No. 617 of 2007), as amended.

# Part II

The terms of the undertaking referred in Part II of this Section are as follows:

I hereby undertake that:

(a) I will not provide investment business services (including acting as an insurance intermediary) or investment advice to clients or, if I do so, I will do so only when incidental to the provision of legal services to such clients;

(b) I will not hold myself out as being an investment business firm or an insurance intermediary;

(c) If I provide investment business services or investment advice to clients incidental to the provision of legal services to such clients and when acting as an investment product intermediary, I will not hold an appointment in writing other than from:

- (i) an investment firm authorised in accordance with Directive 93/22/EEC of 10 May 1993 by a competent authority of another Member State, or an authorised investment business firm (not being a restricted activity investment product intermediary or a certified person), or a member firm within the meaning of the Stock Exchange Act 1995, or
- (ii) a credit institution authorised in accordance with Directives 77/780/EEC of 12 December 1977 and 89/646/EEC of 15 December 1989, or
- (iii) a manager of a collective investment undertaking authorised to market units in collective investments to the public, which is situate in the State or the relevant branch of which is situate in the State; and

(d) if at any time during the course of the practice year ending on 31 December 2012 I propose to become an investment business firm or an investment firm in one or more of the circumstances set forth in Section 47(1)(a) or (b) of the Investor Compensation Act 1998, I will notify the Society in writing of that fact at least seven days before such proposed event and shall, within fourteen days of such notification, comply with the provisions of Regulation 6 of The Solicitors Acts 1954 to 1994 (Investment Business and Investor Compensation) Regulations 1998 (S.I. No. 439 of 1998), as amended.

# Section D — Solicitors Accounts Regulations 2001 to 2006

This Section should completed only by an employed solicitor in private practice or by a solicitor employed by a non-solicitor (e.g. financial institution, commercial entity, statutory body), in either case who has not handled clients' moneys during the practice year 2011 other than on behalf of the employer.

# Section E — Professional Indemnity Insurance

This Section should be completed only by a solicitor who provides legal services for a non-solicitor (e.g. financial institution, commercial entity, statutory body) or a solicitor who provides legal services from an establishment outside the State. If either status applies to you, please complete either Part I or Part II, as

appropriate. In the form for solicitors in the full-time service of the State this Section is not divided into parts.

For the purposes of this Section words and phrases which are assigned meanings by The Solicitors Acts 1954 to 2008 (Professional Indemnity Insurance) Regulations 2011 (S.I No. 409 of 2011) shall have the meanings so assigned.

#### Section F — Continuing Professional Development (CPD)

Completion of Section F of this application is the initial means of verifying compliance with the 2011 minimum CPD requirement. The CPD requirement for 2011 applies to a solicitor who held a practising certificate, and/or a solicitor in the full-time service of the State, and/or a European lawyer registered with the Society holding a qualifying certificate, at any time during 2011. A solicitor who does not fall into any of these categories should tick option (d).

Solicitors who have completed the 2011 minimum CPD requirement in accordance with the Scheme should tick option (*a*). The 2011 CPD Scheme allows for the minimum CPD requirement to be modified in certain limited circumstances, including for (i) a newly admitted solicitor, (ii) a senior practitioner, (iii) maternity/parental/carers/adoptive leave, (iv) part-year practice, (v) unemployment, (vi) part-time practice. The limited circumstances in which these modifications may be claimed and the method of calculating the modified CPD requirement are set out in the current CPD Scheme booklet. No application to the Education Committee is required to claim such a modification. A solicitor who is entitled to modify his/her minimum CPD requirement under the Scheme and who completed that modified CPD requirement should tick option (*a*). A solicitor who is entitled to complete that modified CPD requirement under the Scheme but who failed to complete that modified CPD requirement should tick option (*c*).

A solicitor who was admitted to the Roll of Solicitors on or after 1 December 2010 is exempt from the full 2011 minimum CPD requirement and should tick option (a). A solicitor who was admitted to the Roll of Solicitors between January 2010 and November 2010 is entitled to modify his/her minimum CPD requirement in accordance with the Scheme (see above). Any such solicitor should tick option (a) if they completed that modified CPD requirement or option (c) if they failed to do so.

A solicitor may make an individual application to the Education Committee for special dispensation from the minimum CPD requirement (whether by way of full or partial exemption or for an extension of time to complete the necessary CPD hours) in cases of certified long-term illness or other personal circumstances. Only a solicitor who has made such an application to the Education Committee which has already been granted should tick option (b).

A solicitor who has failed to complete the 2011 minimum CPD requirement (in full or as may be modified under the Scheme) and who has not made an application to the Education Committee for special dispensation should tick option (c). A solicitor to whom option (c) applies and who now wishes to make an

application to the Education Committee for special dispensation should separately write to the CPD Scheme Unit.

The current CPD Scheme booklet and S.I. No. 452 of 2009 are available to download from the CPD Scheme section in the Members' Area of the Society's website (www.lawsociety.ie). For further information, contact the CPD Scheme Unit (tel: 01 6724802, post: CPD Scheme Unit, Law Society of Ireland, Blackhall Place, Dublin 7 or email: cpdscheme@lawsociety.ie).

An applicant should not return his/her CPD record card with this practising certificate application. The Society may subsequently request sight of an applicant's record card and proof of completion of the CPD during the 2011 CPD cycle as part of the Society's CPD audit review process.

#### Section G — Data Protection Statement

Examples of the actual or possible uses of personal data relating to a solicitor include the following:

- the provision of data to insurers providing professional indemnity insurance cover and brokers arranging such cover and to the SPF manager;
- the circulation of the Society's Gazette to a solicitor member of the Society, which may include or be accompanied by commercially-related material;
- publication in the annual Law Directory;
- the reference to a solicitor on the Roll of Solicitors and/or on the Register of Practising Solicitors and/or in the records of professional indemnity insurance cover of solicitors maintained by the Society;
- the reference to a solicitor on the Society's website;
- the provision by the Society to a solicitor candidate for the Society's annual elections or a solicitor candidate for a Dáil or Seanad election of the practice address and/or e-mail address of a solicitor;
- the furnishing of information relating to the good standing of a solicitor, including information recorded on the Roll of Solicitors and on the Register of Practising Solicitors or in the records of professional indemnity insurance cover maintained by the Society, when requested, to Irish governmental agencies (e.g. Judicial Appointments Advisory Board) or to foreign governmental agencies (including governmental agencies outside the European Union) or to commercial entities or to individual members of the public;
- the provision by the Society to a specific commercial entity of the practice address and/or e-mail address pursuant to an expressly recorded decision of the Society that it is beneficial to do so for defined marketing or professional or practice development purposes;

- the provision by the Society of the practice address and/or e-mail address of a solicitor to third party non-commercial entities, e.g. Bar Associations, Courts Service etc which provide useful information to the profession.
- the provision by the Society to a firm of solicitors or another body employing solicitors of information both electronically and in hard copy form, as to the attendance record of individual solicitors within the firm or body relating to Continuing Professional Development.

# Declaration

Please sign and date as indicated on the application form.

# Membership of the Society

Please tick the box if you wish to be a member of the Society for the year ending 31 December 2012.

#### Fees

- 1. The registration fee and the Compensation Fund contribution are required to be paid by each applicant for a practising certificate.
- 2. A solicitor admitted during the practice year commencing 1 January 2012, if applying for his/her first practising certificate during the practice year 2012, may calculate the registration fee and the Compensation Fund contribution payable by him/her on the basis of the number of full calendar months remaining in that practice year following the month of his/her admission; and the solicitor's membership subscription in respect of the year (or part thereof) of his/her admission shall be at the reduced rate of €20.

A solicitor admitted to the Roll for at least 50 years on 1 January 2012 is entitled to be a member of the Society without payment of a membership subscription. Therefore it is not necessary for such a solicitor to pay the  $\in$ 85 membership subscription when applying for a practising certificate.

3. Payment of the annual membership subscription and/or the Solicitors Benevolent Association contribution and/or the Free Legal Advice Centres contribution and/or Community Law Centres contribution is/are not a condition(s) precedent to the issuing to a solicitor of a Practising Certificate. However, unless a solicitor pays the annual membership subscription, he/she cannot enjoy the benefits of being a member of the Society as provided for in the Society's Bye-Laws, including the right to vote in annual and provincial elections and the right to receive the Society's Gazette. The voluntary contribution to Community Law Centres will be allocated to the Ballymun Community Law Centre and the Northside Community Law Centre.

# **Payment Methods**

Please pay the fees due by cheque or electronic funds transfer as indicated on the application form.

If paying by electronic funds transfer, it is mandatory to enclose a remittance advice with the application; until the remittance advice is received, your application will be treated in the same way as an application form without a cheque (that is as an incomplete application) notwithstanding that the fees due may have actually been received by the Society. There is no discretion to waive this requirement in any case. In this regard attention is drawn to the deadline of 10th February 2012 for inclusion in the Law Directory 2012 which is more fully described in the general section of these guidance notes.

BAILE ÁTHA CLIATH ARNA FHOILSIÚ AG OIFIG AN tSOLÁTHAIR Le ceannach díreach ón OIFIG DHÍOLTA FOILSEACHÁN RIALTAIS, TEACH SUN ALLIANCE, SRÁID THEACH LAIGHEAN, BAILE ÁTHA CLIATH 2, nó tríd an bpost ó FOILSEACHÁIN RIALTAIS, AN RANNÓG POST-TRÁCHTA, AONAD 20 PÁIRC MIONDÍOLA COIS LOCHA, CLÁR CHLAINNE MHUIRIS, CONTAE MHAIGH EO, (Teil: 01 - 6476834 nó 1890 213434; Fax: 094 - 9378964 nó 01 - 6476843) nó trí aon díoltóir leabhar.

DUBLIN PUBLISHED BY THE STATIONERY OFFICE To be purchased directly from the GOVERNMENT PUBLICATIONS SALE OFFICE SUN ALLIANCE HOUSE, MOLESWORTH STREET, DUBLIN 2, or by mail order from GOVERNMENT PUBLICATIONS, POSTAL TRADE SECTION, UNIT 20 LAKESIDE RETAIL PARK, CLAREMORRIS, CO. MAYO, (Tel: 01 - 6476834 or 1890 213434; Fax: 094 - 9378964 or 01 - 6476843) or through any bookseller.



€6.60

Wt. (B28940). 288. 12/11. Clondalkin Pharma & Healthcare (Clonshaugh) Ltd. Gr 30-15.